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PATENT APPLICATION  
TRANSMITTAL  
(37 CFR §1.53(b))

Atty Docket No.: 3271/50

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First Inventor or Application Identifier: Baughman

Express Mail Label No. (37 CFR 1.10): EL920635648US

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

Re: Applicant(s) or Identifier: William Baughman et al.  
Serial No. : To be assigned  
Filed : Herewith  
Title : INTEGRATED INVESTMENT PORTFOLIO  
MANAGEMENT SYSTEM AND METHOD

**This application claims the benefit of U.S. Serial No. 60/238,484, filed October 6, 2000.**

☐ Applicant claims small entity status

Kindly file the annexed papers indicated below:

☒ Original application for Patent including Specification (60 pages) and Drawing(s) (8 sheets, Figs. 1-8)

☒ Unexecuted Declaration and Power of Attorney (4 pages)

☐ Non-publication request

☒ Return Receipt Postcard

The fee has been calculated as follows:

	Claims	Claims Paid for	Extra	Rate (Sm. Ent.)	Fee	Rate	Fee
BASIC FILING FEE				\$ 370	\$0.00	\$ 740	\$ 740
Total	11	- 20 =	0	x \$9	\$0.00	x \$18	\$ 0.00
Indep.	1	- 3 =	0	x \$42	\$0.00	x \$84	\$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENT				+ \$140	\$0.00	+ \$280	\$ 0.00
				TOTAL	\$		\$

☐ A check in the amount of \$ .00 is enclosed.

☐ The Commissioner is hereby authorized to charge the fee of \$ .00 to the undersigned attorney's Deposit Account No. 02-4270.

**Filing Fee will be submitted later.**

**Correspondence address: Use Customer Number 29858.**

Dated: October 5, 2001

By:

Respectfully submitted,

*James J. Woods*  
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